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JC979 U.S. PTO  
09/944534

08/31/01

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

Docket No. : 47013/PAN/S850  
Inventor(s) : Blake MingQi Dong and Craig Leonard Ogg  
Title : METHOD AND APPARATUS FOR THE CONTROL AND  
DISTRIBUTION OF VALUE BEARING ITEMS IN A PC POSTAGE  
SYSTEM  
Express Mail Label No. : EL368759000US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231  
Date: August 31, 2001

1. ☒ **FEE TRANSMITTAL FORM** *(Submit an original, and a duplicate for fee processing).*

2. **IF A CONTINUING APPLICATION**  
\_\_\_\_\_ This application is a \_\_\_\_\_ of patent application No. \_\_\_\_\_

Prior application information: Examiner ; Group Art Unit:

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),  
to provisional Application No. 60/230,044.

3. **APPLICATION COMPRISED OF**

**Specification**  
37 Specification, claims and Abstract (total pages)

**Drawings**  
8 Sheets of formal drawing(s) (FIGS. 1 to 10)

**Declaration and Power of Attorney**  
\_\_\_\_\_ Newly executed  
☒ Unexecuted declaration  
\_\_\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_\_\_ **Microfiche Computer Program** *(Appendix)*

5. \_\_\_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** *(if applicable, all necessary)*  
\_\_\_\_\_ Computer Readable Copy  
\_\_\_\_\_ Paper Copy (identical to computer copy)  
\_\_\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**  
\_\_\_\_\_ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL  
ENTITY

**UTILITY PATENT APPLICATION TRANSMITTAL**  
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Docket No.: 47013/PAN/S850

**7. ALSO ENCLOSED ARE**

- ☐ Preliminary Amendment
- ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☒ Other Request and Certification Under 35 USC 122(b)(2)(B)(i)

**8. CORRESPONDENCE ADDRESS**

***CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068***  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Peter A. Nichols  
Peter A. Nichols  
Reg. No. 47,822  
626/795-9900

PAN/cea

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: August 31, 2001**

Docket No. : 47013/PAN/S850  
Inventor(s) : Blake MingQi Dong and Craig Leonard Ogg  
Title : METHOD AND APPARATUS FOR THE CONTROL AND DISTRIBUTION  
OF VALUE BEARING ITEMS IN A PC POSTAGE SYSTEM  
Duplicate X

**FEE DETERMINATION**

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	37 - 20	17	x \$9.00	17 x \$18.00	\$306.00
INDEPENDENT CLAIMS	3 - 3	0	x \$40.00	x \$80.00	
MULTIPLE-DEPENDENT CLAIMS FEE			\$135.00	\$270.00	
BASIC FEE			\$355.00	\$710.00	\$710.00
TOTAL FILING FEE					\$1,016.00
List Independent Claims: 1, 21 and 33					

**METHOD OF PAYMENT**

- X Payment Enclosed: Check for \$1,016.00
- X The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Peter A. Nichols

Peter A. Nichols  
Reg. No. 47,822  
626/795-9900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EL368759000US

Applicant : Blake MingQi Dong et al.  
Filed : Concurrently herewith  
Title : METHOD AND APPARATUS FOR THE CONTROL AND  
DISTRIBUTION OF VALUE BEARING ITEMS IN A PC  
POSTAGE SYSTEM  
Docket No. : 47013/PAN/S850

REQUEST AND CERTIFICATION  
UNDER 35 U.S.C. 122(b)(2)(B)(i)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
August 31, 2001

Commissioner:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

Peter A. Nichols  
Reg. No. 47,822  
626/795-9900